

Patent Application Declaration

COPY

I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated next to my name in PART A on page 2 hereof.

I believe I am the original, first, and sole inventor (if only one name is listed or an original, first and joint inventor (if plural names are listed) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Parallel Polynucleotide Sequencing Method**

the specification of which:

is attached hereto.  
 was filed on 09/26/97 as Attorney Docket No. 2270-0001.30.  
 was filed on 09/26/97 as Application Serial No. 08/938,565 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed in PART B on page 2 hereof and have also identified in PART B on page 2 hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed in PART C on page 2 hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to Vincent M. Powers at (650) 324-0880. Address all correspondence to:

Vincent M. Powers  
Dehlinger & Associates  
P.O. Box 60850  
Palo Alto, CA 94306

Patent Application Declaration

**PART A: INVENTOR INFORMATION AND SIGNATURE**

Full name of SOLE or FIRST inventor Wing H. WONG

Citizenship U.S.A. Post Office Address 919 Levering Avenue, Apt. 106  
Los Angeles, CA 90024

Residence (if different) (same)

Inventor's Signature: W.H. Wong Date: 5 March 19

\* \* \* \* \*

**PART B: PRIOR FOREIGN APPLICATION(S)**

Serial No.	Country	Day/Month/Year Filed	Priority Claimed
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<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No

**PART C: CLAIM FOR BENEFIT OF FILING DATE OF EARLIER U.S. APPLICATION(S)**

Serial No.	Filing Date	Status:
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60/026,797	09/27/96	<input type="checkbox"/> Patented <input checked="" type="checkbox"/> Pending <input type="checkbox"/> Abandoned	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

JUNE 11, 1998

PTAS

DEHLINGER & ASSOCIATES, LLP  
VINCENT M. POWERS, ESQ.  
P.O. BOX 60850  
PALO ALTO, CALIFORNIA 94306



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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION  
OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
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PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/13/1998

REEL/FRAME: 9098/0945

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WONG, WING H.

DOC DATE: 03/05/1998

ASSIGNEE:

HONG KONG, CHINESE UNIVERSITY OF, THE  
SHATIN, NEW TERRITORIES, HONG KONG

SERIAL NUMBER: 08938565

FILING DATE: 09/26/1997

PATENT NUMBER:

ISSUE DATE:

DOCKETED

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

R E C E I V E D

JUN 16 1998

DEHLINGER & ASSOCIATES, LLP

O P E

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04-21-1998

SET

U.S. Department of Commerce  
Patent and Trademark Office

APR 13 1998

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  Wing H. Wong		2. Name/address of receiving party(ies):  The Chinese University of Hong Kong
Add'l names of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Shatin, New Territories Hong Kong
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment  <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Other  <input type="checkbox"/> Change of Name <input type="checkbox"/> Reassignment		
4. Date(s) of execution: <u>03/05/98</u>		Add'l names of receiving parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Application number(s) or patent number(s):  If this document is being filed together with new application, the execution date of application is _____.		
A. Patent Application No.(s)  <u>08/938,565 filed 09/26/97</u>		B. Patent No.(s)
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
6. Name and address of party to whom correspondence concerning document should be mailed:  Vincent M. Powers, Esq. Dehlinger & Associates, LLP P.O. Box 60850 Palo Alto, CA 94306 (650) 324-0880		7. Total No. of applications and patents involved:  <u>one (1)</u>
		8. Total fee (37 CFR §3.41): <u>\$40.00</u>  <input checked="" type="checkbox"/> Enclosed  <input type="checkbox"/> Charge to Acct. No. 04-0531
		9. Total number of pages, including cover sheet, attachments and document <u>4</u> .

DO NOT USE THIS SPACE

## 10. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Vincent M. Powers  
Name of Person Signing

Vincent M. Powers  
Signature

April 9 1998  
Date

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## Assignment

THIS ASSIGNMENT, by Wing H. Wong (hereinafter referred to as the Assignor), residing at Los Angeles, California, witnesseth:

WHEREAS, the said Assignor has invented certain new and useful improvements in Parallel Polynucleotide Sequencing Method, set forth in an application for Letters Patent of the United States, bearing Serial No. 08/938,565 and filed on September 26, 1997;

WHEREAS, The Chinese University of Hong Kong, having its principal place of business at Shatin, New Territories, Hong Kong (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, in valuable consideration, the receipt of which is hereby acknowledged, the said Assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

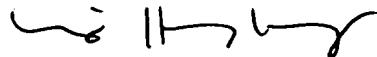
AND, for the same consideration, the said Assignor hereby covenants and agrees to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignor is the lawful owner of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND, for the same consideration, the said Assignor hereby covenants and agrees to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignor will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for

Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said Assignee, its successors, legal representatives and assigns.

Date: 5 March 1998



Wing H. Wong

WITNESSES TO SIGNATURE BY Wing H. Wong

1.

Jar 27  
(Signature)3/15/98  
(date)Jose L. Garcia  
(Print Name)1557 Berkeley St. Apt A  
Street AddressSanta Monica CA 90404  
City, State & Zip

2.

Jennifer Johnston  
(Signature)3/15/98  
(date)Jennifer Johnston  
(Print Name)3658 Mentone Ave. #8  
Street AddressLos Angeles, CA 90034  
City, State & Zip

\* \* \* \* \*

Note: May be notarized in lieu of witnessing.

STATE OF CALIFORNIA      )  
 COUNTY OF                  )  
 \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_, personally appeared Wing H. Wong, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Signature

(Seal)

\* \* \* \* \*

Note: May be witnessed in lieu of notarization.

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